

Receipt
#5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andi Vonlanthen
Serial No.: 09/706,188 Art Unit: 2643
Filed: November 3, 2000
Title: "METHOD FOR CONTROLLING THE DYNAMIC RANGE OF A HEARING AID, AND
METHOD TO MANUFACTURE DIFFERENT HEARING AIDS, AND A HEARING
AID"
Docket No.: 33109

RESPONSE TO REQUEST CORRECTED FILING RECEIPT

Asst. Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir/Madam:

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SEP 28 2001
Technology Center 2600

In response to your requirement that our request for a corrected filing receipt be resubmitted, dated August 31, 2001, we hereby request that the filing date for the above-referenced application be changed from 04/04/2001 to the correct date of 11/03/2000.

Enclosed is a copy of the initial filling receipt, dated 02/05/2001, which shows the correct filing date of 11/03/2000. Also enclosed is a copy of the "Notice To File Missing Parts of NonProvisional Application" Filing Date Granted, that was issued 2/5/01 and shows the correct filing date of November 3, 2000 is shown. Also enclosed is a copy of the Express Mail Label and the postcard sent with the initial filing of this application. Both of these documents show a filing date of 11/03/2001.

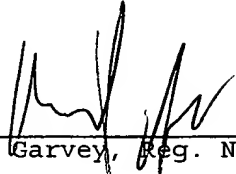
Please issue a corrected filing receipt for this application and forward the same to the undersigned attorney of record.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Michael W. Garvey
Name of Attorney for Applicant(s)
09-10-2001
Date
Signature of Attorney



Respectfully submitted,
PEARNE & GORDON LLP

By  _____
Michael W. Garvey, Reg. No. 35878

526 Superior Avenue, East
Suite 1200
Cleveland, Ohio 44114-1484
(216) 579-1700

September 10, 2001

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| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 09/706,188 | 04/04/2001 | Andi Vonlanthen | 33109 |

116
PEARNE & GORDON LLP
526 SUPERIOR AVENUE EAST
SUITE 1200
CLEVELAND, OH 44114-1484

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SEP 28 2001
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CONFIRMATION NO. 7256
OC00000006505246

Date Mailed: 08/31/2001

RESPONSE TO REQUEST CORRECTED FILING RECEIPT

Application Filing Date

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☒ A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- ☐ The date on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirement of 37 CFR 1.53(b) or (c).
- ☐ Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the Patent and Trademark Office.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt.

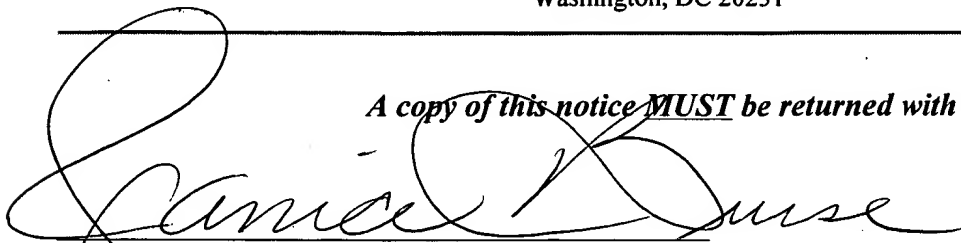


A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/706,188 | 11/03/2000 | Andi Vonlanthen | 33109 |

CONFIRMATION NO. 7256

FORMALITIES LETTER



116
PEARNE & GORDON LLP
526 SUPERIOR AVENUE EAST
SUITE 1200
CLEVELAND, OH 44114-1484

Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

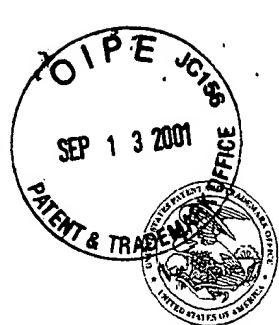
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/706,188 | 11/03/2000 | | 840 | 33109 | 1 | 1 | 1 |

CONFIRMATION NO. 7256

FILING RECEIPT



116
PEARNE & GORDON LLP
526 SUPERIOR AVENUE EAST
SUITE 1200
CLEVELAND, OH 44114-1484

Date Mailed: 02/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andi Vonlanthen, residence, NOT PROVIDED;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/03/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

Title

verfahren zur steuerung des dynamikbereiches eines horgerates sowie verfahren zur
fertigung von horgeratetypen und horgerat

Preliminary Class

Data entry by : DAVENPORT, BOBBIE

Team : OIPE

Date: 02/05/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Inventor / Applicant: ANDI VONLANTHEN No. 12736
Title: "VERFAHREN ZUR STELLERUNG DES..."
Serial No. _____ Patent No. _____
Filed: _____ Examiner _____

Enclosed Are:

☒ **PATENT APPLICATION NEW**
☒ New Application Transmittal +1 COPY
☒ Declaration & Power of Attorney
☒ Pgs. of Claims
☒ Sheet(s) of Drawing(s)
_____ Formal ☒ Informal
_____ Small Entity Statements
_____ Continuation or Division
_____ Rule 60 _____ FWC
_____ Supplemental Declaration

☐ **ASSIGNMENT**
_____ Transmittal(s)
_____ Original _____ Copies

☐ **INFORMATION DISCLOSURE**
_____ PTO-1449 _____ Refs.

Other: SPECIFICATION (6 pgs),
PTD/SB/DS FORM

CHECK(S) IN THE AMOUNT(S) OF
\$ 840.00 \$ _____
\$ _____ \$ _____

Docket No. TSW33109 Int. JIS/jlr
Date 11/3/00

ACKNOWLEDGES RECEIPT OF:

11/03/00 09/706188 Jc841 U.S. PTO

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PEARNE & GORDON LLP
526 Superior Avenue, East
Suite 1200
Cleveland Ohio 44114-1484
(216) 579-1700

Attorney Docket No. 33109

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

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Sir/Madam:

Transmitted herewith for filing by other than a small entity is the patent application of:

Inventor: Andi Vonlanthen

For: VERFAHREN ZUR STEUERUNG DES
DYNAMIKBEREICHES EINES HORGERATES SOWIE
VERFAHREN ZUR FERTIGUNG VON
HORGERATETYPEN UND HORGERAT

1 sheet of informal drawings is included.

An assignment of the invention to Phonak AG will be forwarded.

"Express Mail" mailing label number 635960044US

Date of Deposit 11/3/00

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Morrison

Printed Name of Person Mailing Paper or Fee

Janet Morrison

Signature of Person Mailing Paper or Fee

Inventor / Applicant:

ANDI VONLANTHEN

No.

12736

PEARNE & GORDON LLP

4539

11/03/2000 TEW.33109

US PTO PAT APPL'N FILING FEE

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| PEARNE GORDON MCCOY & GRANGER LLP 526 SUPERIOR AVE E STE 1200 CLEVELAND OH 44114-1484 | | Asst. Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231 | |
| PHONE 216, 579-1700 | | PHONE | |
| JJS:jlm | 11/3/00 | TSW33109 | |

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